

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Mark W. Kroll

Serial No.: 09/847,703

Examiner: F. Oropeza

Filed: JUL 26 2004 05/01/2001

Art Unit: 3762

Docket No.: 001P1028

For: METHOD AND APPARATUS FOR BIVENTRICULAR STIMULATION AND CAPTURE MONITORING

TRANSMITTAL LETTER, FEE AND CERTIFICATE OF MAILING

Mail Stop AMENDMENT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith for filing are the following documents:

- ☒ Associate Power of Attorney (executed)  
☒ Supplemental Information Disclosure Statement  
☒ PTO-1449 with References  
☒ Transmittal Letter, Fee and Cert. of Mailing  
☒ Return Postcard

CALCULATION OF FEES						
ITEM		NO. OF CLAIMS REMAINING AFTER AMENDMENT	NO. OF CLAIMS PREVIOUSLY PAID FOR	ADD'L CLAIMS FILED	LARGE ENTITY FEE	\$ FEE
A	TOTAL CLAIMS FEE				X \$ 18	\$ 0
B	INDEPENDENT CLAIMS FEE**				X \$ 86	0
C	MULTIPLE- DEPENDENT				X \$ 290	0
D	EXTENSION OF TIME FEE — 1-mon: \$110; 2-mon: \$420; 3-mon: \$950; 4-mon: \$1,480					0
E	ADDITIONAL FEES (i.e., Surcharge – Late Fee- Declaration; Petitions; Information Disclosure Statement; Terminal Disclaimer, etc.) Specify: Supplemental Information Disclosure Statement (1806)					180
F	<b>TOTAL ADDITIONAL FEE**</b> (ADD TOTALS FOR LINES A,B,C,D, and E)					\$ 180**

<input checked="" type="checkbox"/>	Charge Deposit Account No. <b>16-0068</b> the amount of	<b>\$180 **</b>	<b>A copy of this letter is enclosed.</b>
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0068

X Any additional filing fees required under 37 CFR 1.16.

X Any patent application processing fees under 37 CFR 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0068

X Any patent application processing fees under 37 CFR 1.17.

X Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

Date: 7/22/04

Ronald S. Tamura

Ronald S. Tamura

Reg. No. 43,179

Attorney for Applicants

Correspondence Address:

PACESETTER, INC.  
15900 Valley View Court  
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818/362-4795 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service as "First Class Mail" in an envelope addressed to the:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450, on:

July 22, 2004

Cristene Amador 07/22/04

Cristene Amador

Date



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Mark W. Kroll

**Serial No.:** 09/847,703

**Art Unit:** 3762

**Filed:** 05/01/2001

**Examiner:** F. Oropeza

**For:** METHOD AND APPARATUS FOR BIVENTRICULAR STIMULATION AND  
CAPTURE MONITORING

**Docket No.:** A01P1028

**SUPPLEMENTAL**

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450,

on: July 22, 2004

*Cristene Amador* 07/22/04  
Cristene Amador Date

Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, Applicants submit herewith Form PTO-1449 listing documents believed relevant to the above-identified application. It is respectfully requested that these documents be made of record and that an initialed copy of Form PTO-1449 be returned to the undersigned. A copy of each document is enclosed unless otherwise indicated.

07/28/2004 MHEKONEN 00000013 160068 09847703

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This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional references material to the examination of this application do not exist, or that the citations listed on Form PTO-1449 necessarily constitute prior art to the application.

You are hereby authorized to deduct any fees from Deposit Account 16-0068 in order to secure entry of this Supplemental Information Disclosure Statement. A duplicate of this IDS is enclosed.

Respectfully submitted,

7/21/04  
Date

Ronald S. Tamura  
Ronald S. Tamura  
Reg. No. 43,179  
Attorney for Applicant(s)

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15900 Valley View Court  
Sylmar, CA 91392-9221  
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Form PTO-1449 (modified)	Attorney Docket No. A01P1028	Serial No. 09/847,703
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	Applicant(s): Mark W. Kroll	
(Use several sheets if necessary)	Filing Date: 05/01/2001	Group Art Unit: 3762

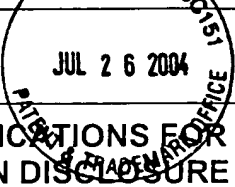
## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	6,070,100	05/30/2000	Bakels et al.	607/9	12/15/1997
	6,122,545	09/19/2000	Struble et al.	607/9	09/19/2000

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449 (modified)		Attorney Docket No. A01P1028	Serial No. 09/847,703
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT		Applicant(s): Mark W. Kroll	
(Use several sheets if necessary)		Filing Date: 05/01/2001	Group Art Unit: 3762

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Country	Class / Subclass	Translation Yes/No
	Int'l No.:	Int'l Publ. Date:		Int'l Classification:	
	WO 99/29368	17.06.99	WIPO	A61N 1/37	

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant(s).